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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/563,949	05/11/2006	Thorsten Bendel	Y05Y013	3568
35910 7590 05/30/2007 OMORI & YAGUCHI USA, LLC EIGHT PENN CENTER, SUITE 1901 1628 JOHN F. KENNEDY BOULEVARD PHILADELPHIA, PA 19103			EXAMINER MERLINO, ALYSON MARIE	
			ART UNIT 3676	PAPER NUMBER
			MAIL DATE 05/30/2007	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

Application No.

10/563,949

Applicant(s)

BENDEL, THORSTEN

Examiner

Alyson M. Merlino

Art Unit

3676

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 09 January 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 09 January 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 11 May 2006.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. **Claims 9 and 10 are rejected** under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

3. **Claim 9** recites the limitation "a pawl held previously by the operating lever is released" in lines 3 and 4 of the claim. There is insufficient antecedent basis for this limitation in the claim. Specifically, claim 1 does not describe the movement of the pawl with respect to the operating arm for one to comprehend what state the pawl was in before the limitations of claim 9. Therefore, for examination purposes, the claim will be given a broad interpretation until further clarification from applicant.

4. **In regards to claim 10**, the description of the relationship between spring F and the cam 4, i.e. how the spring on the operating lever affects the cam on the drive disk. For examination purposes, the claim will be given a broad interpretation until further clarification from applicant.

### ***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

Art Unit: 3676

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. **Claims 1-10 are rejected** under 35 U.S.C. 102(e) as being anticipated by Nass et al. (WO 03/018939).

The applied reference has a common assignee with the instant application.

Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

7. **In regards to claim 1**, Nass et al. discloses a vehicle door latch (Figure 1) having a locking mechanism 1, 2, at least one operating lever 3 for the locking mechanism (Figure 1), and a motor drive 5, 6, 7, 8, 9 for opening the locking mechanism by directly acting upon the locking mechanism solely via the operating lever (Paragraphs 39-40).

8. **In regards to claim 2**, Nass et al. discloses that the motor drive is reversible (ability of spring 12 to return disk to position shown in Figure 1, Paragraph 53) and preferably contains a drive disk 7, 8 with a front-sided cam 9 and a rear-sided element limiting the angle of rotation 12.

9. **In regards to claim 3**, Nass et al. discloses that the element limiting the angle of rotation 12 cooperates with a stationary stop 13, 14 (stationary on portion 7) and limits

Art Unit: 3676

the movement of the rotation of the motor drive to the actuation and reversing direction (spring limits movement).

10. **In regards to claims 4 and 5**, Nass et al. discloses that the operating lever contains at least two arms, specifically three, an operating arm (arm portion near reference character 3a, Figure 1), an actuation arm (arm portion near reference character 2, Figure 1), and an additional opening arm (arm portion near reference character 4, Figure 1).

11. **In regards to claim 6**, Nass et al. discloses that the operating arm is acted upon by the drive (Figure 1) while the actuation arm acts upon the locking mechanism (engagement with pawl 2).

12. **In regards to claim 7**, Nass et al. discloses that the motor drive acts upon the operating lever in its actuating direction (direction of disk and arm shown in Figure 3) for opening the locking mechanism until the element limiting the angle of rotation rests against the stop in an opening position (position of element in Figure 3).

13. **In regards to claim 8**, Nass et al. discloses that door latch is capable of maintaining the opening position (open until spring reverses movement of operating lever, Figures 3 and 4) until the locking mechanism has been reliably opened.

14. **In regards to claim 9 (broad interpretation)**, Nass et al. discloses that after opening the locking mechanism, the motor drive is acted upon in reverse direction (Paragraph 53).

Art Unit: 3676

15. **In regards to claim 10**, Nass et al. discloses that when the drive disk is in an opening position (Figure 3), a spring 16 on the operating lever biases the lever in the locking or closed position (Figure 1).

16. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

17. **Claims 1, 2, 4-6, and 9 are rejected** under 35 U.S.C. 102(b) as being anticipated by Fukumoto (US-5020838).

18. **In regards to claim 1**, Fukumoto discloses a vehicle door latch having a locking mechanism 2A, at least one operating lever 3 for the locking mechanism, and a motor drive 5, 7, 70, 71 for opening the locking mechanism by directly acting upon the locking mechanism solely via the operating lever (Col. 3, lines 6-27).

19. **In regards to claim 2**, Fukumoto discloses that the motor drive is reversible (apparent from two rotational directions shown in Figure 1) and preferably contains a drive disk 5 with a front-sided cam 53 and a rear sided element 6 limiting the angle of rotation (Col. 1, lines 57-60).

20. **In regards to claims 4 and 5**, Fukumoto discloses that the operating lever contains at least two arms, specifically three, an operating arm (arm portion near reference character 32, Figure 1), an actuation arm (arm portion near reference character 31, Figure 1), and an additional opening arm (arm portion connected to lever 98, Figure 1).

Art Unit: 3676

21. **In regards to claim 6**, Fukumoto discloses that the operating arm is acted upon by the drive while the actuation arm acts upon the locking mechanism (engagement shown in Figure 1 and Col. 3, lines 6-27).

22. **In regards to claim 9 (broad interpretation)**, Fukumotot discloses that after opening the locking mechanism, the motor drive is acted upon in reverse direction (Col. 3, lines 6-42 and direction C2).

### ***Conclusion***

23. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Wirths (US-6155124) and Szablewski (US-6435573) disclose vehicle latches of similar function to that of the claimed device.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alyson M. Merlino whose telephone number is (571) 272-2219. The examiner can normally be reached on Monday through Friday, 7:30 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Glessner can be reached on (571) 272-6843. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3676

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AM ~~AM~~  
May 21, 2007

A handwritten signature in black ink, appearing to read "Brian E. Glessner", followed by a long horizontal line extending to the right.

BRIAN E. GLESSNER  
SUPERVISORY PATENT EXAMINER